



London Business School's Open Access Policy

Research at London Business School

- 1. The School's vision is to have a profound impact on the way the world does business.
- 2. Research is an essential component of life at London Business School and as such the School's research strategy promotes the pursuit of research of international excellence to undertake top quality research that will influence business leaders and managers, public policy-makers and other researchers worldwide.
- 3. Faculty are required to publish their research in the most appropriate leading journals in their field.

Policy for Open Access in the post-2014 Research Excellence Framework

- 4. The Higher Education Funding Council for England (HEFCE) has introduced a new open access policy requirement for the post-2014 REF quality exercise. To be eligible for submission to the post-2014 REF, authors' final peer-reviewed manuscripts must have been deposited in an institutional repository on acceptance for publication
- 5. To support and facilitate the open access mandate the School has introduced an institutional repository, LBS Research Online.
- 6. The School's immediate deposit requirements follow the post-2014 HEFCE REF compliance mandate. The following outputs accepted for publication after the 1st April 2016 must be deposited in LBS Research Online as soon after the date of acceptance as possible, and no later than three months after this date (as given in the acceptance letter or e-mail from the publication to author):
- Journal articles: an electronic copy of the author's accepted and final peer-reviewed text (which
 may otherwise be known as the 'author accepted manuscript' or 'final author version' or 'postprint').
- Conference proceedings: an electronic copy of the final peer-reviewed text of conference proceedings.

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- 7. This policy applies to journal articles and conference proceedings with an International Standard Serial Number (ISSN).
- 8. The School's ambition is to accommodate the deposit of other faculty outputs and intellectual material such as working papers, book chapters, PhD theses and datasets. This strategy will maximise the School's future REF submission and earn credit in the assessment of the School's research 'Environment' quality profile.

Implementing Open Access

- 9. The School supports the ambition of the Higher Education Funding Council for England (HEFCE), the Research Councils UK (RCUK), and other external funding bodies to make research as widely and freely accessible as the available channels for dissemination allow.
- 10. Faculty are required to email a copy of their final, peer-reviewed manuscript immediately on notification of acceptance of publication, to <u>openaccess@london.edu</u>, together with the acceptance letter or email from the publisher. (The Library will need to record this information to support internal audit and compliance with HEFCE's open access policy for depositing outputs)
- 11. There are 2 routes to open access, Green and Gold:

Green

The author publishes their work in an appropriate publication and deposits ('self-archives') the final copy of the accepted, peer-reviewed paper in an institutional repository at the same time as the print copy is published in a print version. The publisher may impose an embargo period before the full text of the deposited version can be made available.

Gold

The author pays to publish in a journal that provides immediate open access to some or all of its articles on the publisher's website. Usually this incurs an Article Processing Charge (APC) to enable immediate open access to the article.

- 12. Our policy is to use the 'Green' route when permitted by the publishers.
- 13. When this is not possible, faculty will have to follow the 'Gold' route and the School will cover the associated APC charge, unless it can be covered by an external funder.

Policy Revision:

This policy is a working document to allow for revision in line with both government and external funders' compliance mandates for open access. As such changes will be made accordingly following Management Board approval.

Management Board, 1st Feb 2016

London Business School